

DATE: January 1, 2019

CIRCULARS

RBI

- Auction of Government of India Dated Securities
- Auction for Sale (Re-issue) of Government Stock (GS)

NSE

- Suspension of Member
- Commodity Derivatives Segment Settlement Calendar for January 2019
- Procedure for uploading Unique Client Code (UCC) for Commodity Derivative Segment
- Trading Hours for Commodity Derivatives Segment
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure (ASM)
- Applicable MWPL, TM, FII/FPI & MF Limits

BSE

- BCX Tender Period Margin Tracker January 2019
- Market Wide Position Limits for stocks traded under SLBS January 2019

- Physical Settlement of Stock Derivatives
- Applicability of Additional Surveillance Measure
- Graded Surveillance Measure (GSM) List of securities moving in to their respective GSM
 Stages
- Payment of SEBI Turnover Fees of Equity Segment/Equity Derivatives/Currency Derivatives
 Segment/Commodity Derivatives Segment/Interest Rate Derivatives/New Debt
 Segment/Repo Segment
- Information regarding Client funding in cash segment by the Trading Members for the month of December, 2018

ICEX

• No New Circular

MCX

- Revision in Delivery and Settlement Procedure for Aluminium & Zinc
- Deferment of Zinc Option Contract with Zinc (5 MT) Futures as underlying for the month of April 2019
- Commencement of Futures Trading in Zinc May 2019 Contract
- Commencement of Futures Trading in Aluminium May 2019 Contract
- Monthly Mock Trading Calendar for the year 2019

SEBI

- Physical settlement of stock derivatives
- Order in the matter of Confidence Finance and Trading Limited
- Order in the matter of Aryan Nutrient Food Projects Limited
- Adjudication order in respect of Ms Raghav Commodities in the matter of Illiquid Stock
 Options at BSE

- Adjudication Order in respect of Fact Enterprise Ltd. in the matter of Ravi Kumar Distilleries Ltd.
- Adjudciation Order in respect of Bafna Spinning Mills & Exports Ltd.
- Adjudication Order in respect of Kemrock Industries & Exports Ltd.
- Adjudication Order in respect of Arun Jain in the matter of Polaris Software Lab Limited
- Adjudication Order in respect of R. Srikanth in the matter of Polaris Software Lab Limited
- Adjudication Order in respect of Dalal Street Investments Ltd.,

NSDL

• 2018-0074-Policy-Amendments to Business Rules of NSDL

NCDEX

• Mock Trading (Contingency Drill) on January 05, 2019

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